

09/868276

JC03 Rec'd PCT/PTC 15 JUN 2001

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Attorney Docket No. 015058-003210US

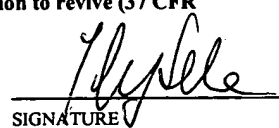
Enclosures: Form PTO-1390

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JC19 Rec'd PCT/PTO 15 JUN 2001

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|--|--|---|--|---|--|
| FORM PTO-1390<br>(REV. 11-2000)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>015058-003210US                         |  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>09/868276</b> |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/US99/30302  |  | INTERNATIONAL FILING DATE<br>December 17, 1999          |  | PRIORITY DATE CLAIMED<br>December 18, 1998                          |  |
| TITLE OF INVENTION<br>PROTEASE INHIBITORS  |  |   |  |   |  |
| APPLICANT(S) FOR DO/EO/US    Darin A. Allen; Jason M. Hataye; Witold No. Hruzewicz; Aleksandr Lokesnikov; Richard L. Mackman; Roopa Rai; Jeffrey R. Spencer; Erik J. Verner, Wendy B. Young, William Dvorak Schrader   |  |   |  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |   |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 36 U.S.C. 371.<br>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 37(c)(2))<br>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> has been communicated by the International Bureau<br>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input type="checkbox"/> is attached hereto.<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).<br>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br>Items 11 to 20 below concern document(s) or information included:<br>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input type="checkbox"/> A FIRST preliminary amendment.<br>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A change of power of attorney and/or address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.<br>18. <input type="checkbox"/> A second copy of the published international application under 36 U.S.C.<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).<br>20. <input type="checkbox"/> Other items or information: |  |   |  |   |  |

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| U.S. Application no. (if known, see 37 CFR 1.49) <b>09/868276</b>   |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/US99/30302</b> |           | ATTORNEY'S DOCKET NUMBER<br><b>015058-003210US</b>   |  |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:   |              |  |           | <b>CALCULATIONS PTO USE ONLY</b>   |  |
| <b>BASIC NATIONAL FEE (37 CFR 1.492(A) (1) - (5)):</b>  |              |  |           |  |  |
| Neither international preliminary examination fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....   |              |  |           | \$1000.00  |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search report prepared by the EPO of JPO .....   |              |  |           | \$860.00   |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....  |              |  |           | \$710.00   |  |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....   |              |  |           | \$690.00   |  |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)(4) .....  |              |  |           | \$100.00   |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |              |  |           | \$860  |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |  |           | \$   |  |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA   | RATE      | \$   |  |
| Total claims  | 16 - 20 =    |  | x \$18.00 | \$   |  |
| Independent claims  | 1 - 3 =      |  | x \$80.00 | \$   |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |  | + 270.00  | \$   |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |  |           | \$860  |  |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |           | \$430  |  |
| <b>SUBTOTAL =</b>   |              |  |           | \$430  |  |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFT 1.492(f)).  |              |  |           | \$   |  |
| <b>TOTAL NATIONAL FEE =</b>   |              |  |           | \$   |  |
| Fee for recording the enclosed assignment (37 CFR 1.2(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |              |  |           | \$   |  |
| <b>TOTAL FEES ENCLOSED =</b>  |              |  |           | \$430  |  |
|   |              |  |           | Amount to be refunded: \$  |  |
|   |              |  |           | charged: \$  |  |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.<br>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>20-1430</u> in the amount of <b>\$430</b> to cover the above fees.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |              |  |           |  |  |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  |              |  |           |  |  |
| SEND ALL CORRESPONDENCE TO:<br><br>William B. Kezer<br>Townsend and Townsend and Crew LLP<br>Two Embarcadero Center, 8th fl.<br>San Francisco, CA 94111   |              |  |           |  |  |
|   |              |  |           | <br>SIGNATURE |  |
|   |              |  |           | <u>J. Georg Seka</u><br>NAME   |  |
|   |              |  |           | <u>24,491</u><br>REGISTRATION NUMBER   |  |

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